

#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Examiner: Unknown

John C. Duté, et al.

Serial No. 09/916,215

Art Unit: 2876

Filed: July 25, 2001

Title: Apparatus, Method and Signal Set for Monoline Serial Interface

COPY OF PAPERS  
ORIGINALLY FILEDBox Missing Parts  
Commissioner of Patents  
Washington, DC 20231**RESPONSE TO NOTICE TO FILE MISSING PARTS UNDER 37 C.F.R. 1.53(b)**

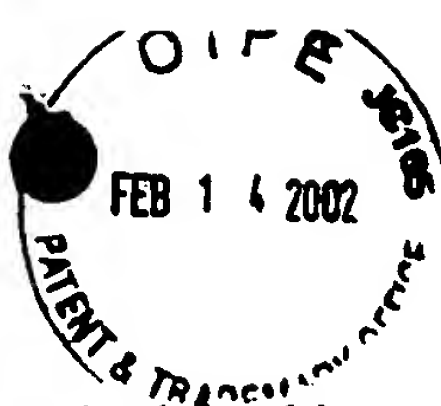
Dear Sir:

In response to the Notice to File Missing Parts of Application mailed on September 7, 2001, in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the above-identified patent application. The signed declaration for patent application is enclosed herewith under 37 C.F.R. §§1.27 and 1.16(e).

☐ Small entity status is proper for the assignee under 37 C.F.R. §§1.9 and 1.27.

The fees associated with the filing of this response are shown below:

									Fees
Filing Fee									\$ 370.00
Missing Parts Surcharge									\$ 65.00
Any Additional Claim(s) over 20	32x	\$ 9.00							\$ 288.00
Any Additional Independent Claim(s) over 3	4x	\$42.00							\$ 168.00
Multiple Claim(s)	x								\$ 0.00
<input checked="" type="checkbox"/> Extension of Time Fee									\$ 460.00
For Large Entity	TOTAL FEE ENCLOSED							=	\$ 1351.00
Divide In Half For Small Entity								=	\$ 0.00



- ☐ No additional fee is required for this \_\_\_\_\_.
- ☐ Please charge Deposit Account No. 06-1300 in the amount of \$0.00.
- ☒ The Commissioner is hereby authorized to charge any additional fees and credit any overpayments to Deposit Account No. 06-1300 (order no. A-70826/RMA).
- ☐ Charge any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,  
John C. Duté, et al.

COPY OF PAPERS  
ORIGINALLY FILED

Date: 1/25/02  
FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP  
4 Embarcadero Center, Suite 3400  
San Francisco, CA 94111  
(415) 781-1989

By R. Michael Ananian  
R. Michael Ananian  
Attorney for Applicants  
Reg. No. 35,050

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on

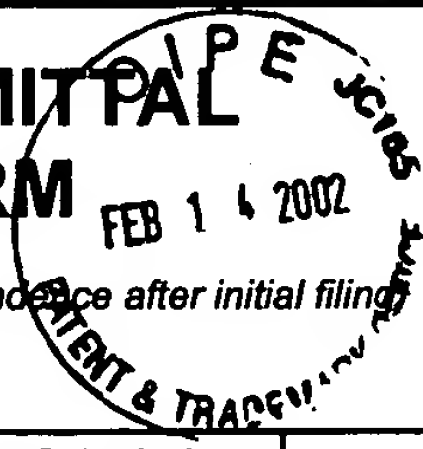
Date: 1/25/02 By: Victoria Christopher  
Victoria Christopher

Please type a plus sign (+) inside this box → ☐

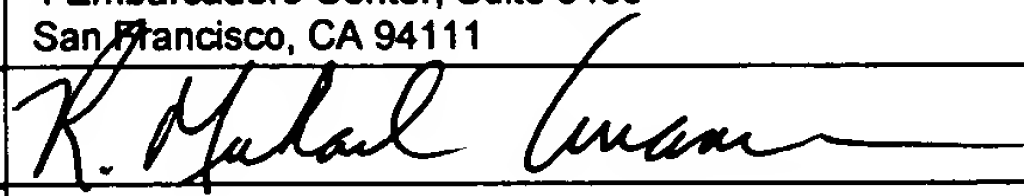
Approved for use through 10/31/2002. OMB 0651-0031

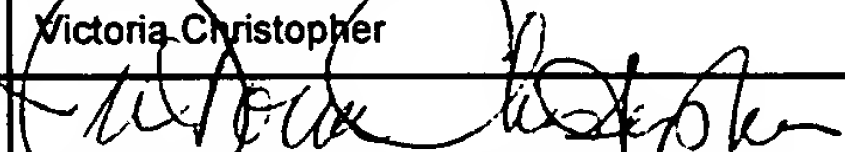
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) 	Application Number	09/916,215	
	Filing Date	July 25, 2001	
	First Named Inventor	John C. Duté	
	Group Art Unit	2876	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	16	Attorney Docket Number	A-70826/RMA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Deposit Account Authorization on Fee Transmittal Form <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Signed Oath/Declaration <input checked="" type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Information Disclosure Statement & PTO Form 1449 <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts <input type="checkbox"/> Response to Incomplete Application	<input type="checkbox"/> Assignment & Cover Sheet (for an Application) <input checked="" type="checkbox"/> Drawing(s) 6 sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Request for Continued Examination <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Letter to Official Draftsperson (Submission of Corrected Drawings)</b>
<div>Remarks</div> <div>COPY OF PA... ORIGINALLY FILED</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	R. Michael Ananian, Esq., Reg. No. 35,050 Flehr Hohbach Test Albritton & Herbert LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111
Signature	
Date	January 25, 2002

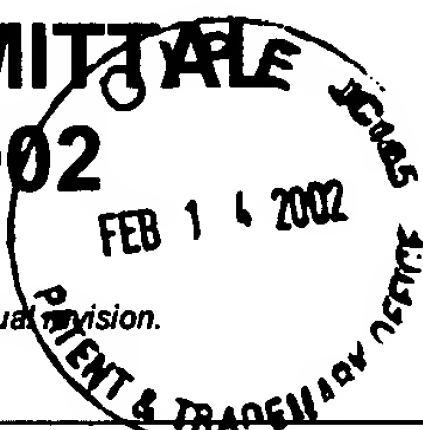
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <span>January 25, 2002</span>			
Typed or printed name	Victoria Christopher		
Signature		Date	January 25, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3

**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.



Complete if Known

Application Number	09/916,215
Filing Date	July 25, 2001
First Named Inventor	John C. Duté
Examiner Name	Unknown
Group / Art Unit	2876
Attorney Docket No.	A-70826/RMA

COPY OF PAPER  
ORIGINALLY FILED

TOTAL AMOUNT OF PAYMENT (\$) 1351

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number 06-1300Deposit  
Account  
Name Flehr Hohbach Test Albritton & Herbert LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 370)

## 2. EXTRA CLAIM FEES

	Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
	52	7		-20 ** = 32	X 9 = 288	
				-3 ** = 4	X 42 = 168	
					X = 0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 456)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	205	Surcharge - late filing fee or oath	65
127	227	Surcharge - late provisional filing fee or cover sheet.	
139	139	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	460
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,280	Petition to revive - unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	Submission of Information Disclosure Stmt	
581	40	Recording each patent assignment per property (times number of properties)	
146	740	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 525)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	R. Michael Ananian	Registration No. Attorney/Agent)	35,050	Telephone	(415) 781-1989
Signature				Date	January 25, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.